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## MATHEMATICAL MODEL OF DENGUE HEMORRHAGIC FEVER SPREAD WITH DIFFERENT LEVELS OF TRANSMISSION RISK

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#### ABSTRACT

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Dengue Hemorrhagic Fever; Genetic Algorithm; Mathematical Model; Parameter Estimation; Transmission Risk.

Dengue Haemorrhagic Fever (DHF) is a vector-borne disease caused by the dengue virus, transmitted to humans through the bite of an infected female Aedes aegypti mosquito. DHF is prevalent in tropical regions, necessitating mathematical modeling to better understand its dynamics and predict its spread. This study develops and analyzes a mathematical model for DHF transmission that incorporates seven compartments to reflect different transmission risk levels. Stability analysis of the disease-free and endemic equilibria was conducted, with the basic reproduction number  $(R_0)$  used to classify the conditions under which DHF transmission is controlled  $(R_0 < 1)$  or endemic  $(R_0 > 1)$ . Key model parameters were estimated using DHF case data from East Java in 2018, employing a genetic algorithm (GA) to optimize the estimation process. The GA approach achieved a mean absolute percentage error (MAPE) of 2.6382%, ensuring high accuracy in parameter values. Furthermore, the basic reproduction number was determined to be  $R_0 = 1.14$ , which is greater than one, confirming that DHF remains endemic in East Java. Sensitivity analysis identified the mosquito biting rate (b), mosquito mortality rate ( $\mu_{\nu}$ ), and transmission rates  $(\beta_h \text{ and } \beta_v)$  as the most critical factors influencing  $R_0$ . Numerical simulations demonstrated the effects of these key parameters on both  $R_0$  and the symptomatic human population  $(I_h)$ . An increase in b,  $\beta_h$ , or  $\beta_v$  significantly amplified  $R_0$ and  $I_h$ , while a rise in  $\mu_v$  had the opposite effect, reducing both transmission and infections. These results underscore the critical role of vector control strategies, such as increasing mosquito mortality and reducing breeding sites, in mitigating DHF outbreaks. This study highlights the utility of combining mathematical modeling with genetic algorithm-based parameter estimation to provide accurate insights into disease dynamics and inform effective control measures.



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## **1. INTRODUCTION**

Dengue Hemorrhagic Fever (DHF) is a significant public health threat that predominantly impacts tropical and subtropical regions, including Southeast Asia, Latin America, and Africa. This mosquito-borne disease is caused by the dengue virus, a member of the Flavivirus genus within the Flaviviridae family, and is transmitted primarily through the bite of infected female Aedes aegypti mosquitoes [1]. First identified in Manila, Philippines, during 1953 – 1954, DHF quickly established itself as a critical health issue across Southeast Asia within just two decades, becoming a leading cause of pediatric mortality by the mid-1970s [2]. The disease presents a wide clinical spectrum, with approximately 80% of infected individuals experiencing mild fever-like symptoms, typically appearing between 3 to 14 days post-infection [3].

Compared to other diseases and their impacts, DHF puts a huge burden on the human population, the health sector, and the economy in the majority of tropical countries in the world. The emergence and spread of the dengue virus can also pose a global pandemic threat. It is proven that in the last five decades, DHF cases have increased by 30 times. DHF is also the most important arbovirus disease in the world. Every year, DHF infection continues to increase, resulting in hundreds of thousands of people being infected, with medical costs of up to 514-1394 USD per year [4]. In Indonesia, DHF is one of the infectious diseases that is still a major problem for public health, with a very rapid spread and has the potential to cause death. DHF was first reported to occur in 1968 in the city of Surabaya with 58 sufferers and 24 deaths, then spread throughout Indonesia and attacked all ages, especially children [5]. In 2017, the number of dengue cases in East Java reached 7,254 people throughout East Java, with the number of deaths reaching 104 residents [6].

Understanding and predicting DHF transmission dynamics are essential for formulating effective intervention strategies. Over the past two decades, mathematical modeling has emerged as a powerful tool for studying the spread of infectious diseases, including DHF [7], [8], [9], [10]. Previous studies have provided significant insights into various aspects of dengue epidemiology. For instance, Agusto and Khan [11] integrated vaccination strategies into their model, while Jan et al. [12] explored the role of asymptomatic infections in disease dynamics. Ghosh et al. [13] introduced a model that distinguishes between high-risk and low-risk susceptible populations, and Anggriani et al. [14] focused on reinfection with the same serotype. More recently, Zhang et al. [15] proposed innovative control mechanisms involving Wolbachia bacteria to disrupt mosquito reproduction. Most existing mathematical models either rely on static parameters derived from limited datasets or lack specificity to regional epidemiological contexts. Furthermore, while parameter estimation is critical for translating theoretical models into practical applications, challenges persist in accurately calibrating models to real-world data.

Genetic algorithm (GA) is a population-based optimization method inspired by natural selection mechanisms such as selection, mutation, and recombination to find the best solution to a given problem [16]. Compared to conventional parameter estimation methods, GA offers several key advantages. GA does not require function derivatives or specific assumptions about data distribution, making it more flexible for solving complex and nonlinear optimization problems. Additionally, GA can explore a wide range of possible solutions, reducing the risk of getting stuck in suboptimal solutions. This capability makes GA particularly effective in determining the best parameters for epidemiological models with multiple variables and uncertainties. Therefore, in this study, GA is utilized to estimate model parameters, ensuring that simulation results closely align with real-world data.

Despite the numerous mathematical models developed for DHF, existing models often have limitations in capturing the heterogeneity in transmission risk and in accurately estimating parameters from real-world data. Many models assume uniform transmission dynamics, which may not reflect the actual variations in risk levels among infected individuals. Moreover, traditional parameter estimation methods may not provide the best fit for epidemiological trends. These gaps highlight the need for a refined mathematical model that incorporates different levels of transmission risk and employs a robust estimation technique to improve predictive accuracy. This study seeks to address these issues by developing an extended DHF model with a compartment for transmission risk levels and utilizing a genetic algorithm to optimize parameter estimation.

In this study, we aim to address modifying and extending the DHF models proposed by Jan et al. [12] and Ghosh et al. [13]. Utilizing monthly cumulative DHF case data from East Java in 2018, we apply genetic algorithms to estimate parameters within the models. This approach not only enhances the realism and predictive accuracy of the models but also provides novel insights into the dynamics of DHF transmission. By integrating regional data and advanced parameter estimation techniques, this research seeks to offer a more targeted and effective framework for DHF control. The findings are expected to inform public health

strategies and contribute to reducing the morbidity and mortality associated with DHF in East Java and similar endemic regions in the future.

## 2. RESEARCH METHODS

In this section, we provide a detailed explanation of the model formulation and the step-by-step implementation of the Genetic Algorithm (GA) as an optimization technique.

## **2.1 DHF Model Formulation**

This study builds upon and modifies the mathematical models for dengue haemorrhagic fever (DHF) transmission previously developed by Jan et al. [12] and Ghosh et al. [13]. The resulting model incorporates the dynamics of DHF transmission with varying levels of risk, reflecting environmental and population-specific factors. In this model, we assume a constant total population size. This assumption aligns with previous studies that serve as our primary references [12]. While real-world populations are dynamic, the relatively short time frame of this study minimizes the impact of demographic changes on disease transmission dynamics. Other assumptions in the model include:

- 1. The susceptible human population is divided into two compartments,  $S_h$  and  $L_h$ , which differ based on environmental risk factors.
- 2. The infected human population is divided into two compartments,  $A_h$  (asymptomatic infections) and  $I_h$  (symptomatic infections), both of which can transition to the recovered compartment  $(R_h)$ .
- 3. Humans who recover from dengue are assumed to gain permanent immunity, as the likelihood of reinfection with the same serotype is negligible.
- 4. Mortality due to DHF is not considered in this model.
- 5.  $I_h$  population can recover either naturally or through treatment.
- 6. The incubation period for the dengue virus is assumed to be negligible, allowing infected individuals to transmit the virus immediately upon infection.
- 7. Age and sex differences within the human population are not accounted for in the model.

The notations and descriptions for each compartment and parameter used in the model are summarized in **Table 1** and **Table 2**. These tables provide clarity regarding the biological meaning of the variables and parameters, ensuring accurate interpretation of the model.

Compartments	Description		
S <sub>h</sub>	Number of high-risk susceptible human population		
$L_h$	Number of low-risk susceptible human population		
$A_h$	Number of asymptomatic human population		
I <sub>h</sub>	Number of symptomatic human population		
$R_h$	Number of recovered human population		
$S_v$	Number of susceptible mosquito population		
I,,	Number of infected mosquito population		

## Table 1. Compartments Description

#### Table 2. Parameters Description

Parameters	Description	Unit
r	Proportion of newly recruited individuals joining the high-risk susceptible class	-
Ψ	Proportion of asymptomatic carriers	-

Parameters	Description	Unit
γ	Recovery rate of human individuals	Month <sup>-1</sup>
τ	Treatment rate, which I <sub>h</sub> recover	Month <sup>-1</sup>
b	Biting rate of mosquitoes	Month <sup>-1</sup>
$\mu_h$	Natural mortality rate of humans	Month <sup>-1</sup>
$\mu_v$	Natural mortality rate of mosquitoes	Month <sup>-1</sup>
$\beta_h$	Transmission probability from infected mosquitoes to susceptible humans	-
$eta_{v}$	Transmission probability from infected humans to susceptible mosquitoes	-

**Table 1** describes the compartments that represent different groups within the human and mosquito populations. The human population is divided into several categories: high-risk and low-risk susceptible individuals ( $S_h$  and  $L_h$ ), asymptomatic carriers ( $A_h$ ), symptomatic infected individuals ( $I_h$ ), and those who have recovered from the disease ( $R_h$ ). These divisions capture variations in risk level, infection status, and immunity. Meanwhile, the mosquito population is categorized into susceptible ( $S_v$ ) and infected ( $I_v$ ) groups, reflecting their role in disease transmission.

**Table 2** outlines the parameters that influence the dynamics of the model. These parameters include proportions, such as the fraction of newly recruited humans joining the high-risk group (r) and the proportion of asymptomatic carriers among infected humans( $\Psi$ ). Rates such as recovery ( $\gamma$ ) and treatment ( $\tau$ ) are included to capture the progression of the disease and the impact of interventions. Transmission rates ( $\beta_h$  and  $\beta_v$ ) describe how the disease spreads between humans and mosquitoes, while the biting rate (b) captures mosquito feeding behavior. Additionally, natural mortality rates of humans ( $\mu_h$ ) and mosquitoes ( $\mu_v$ ) are incorporated to account for baseline population turnover.

Based on these assumptions, the description of compartments and parameters, the transmission dynamics of the DHF model are illustrated in the diagram presented in **Figure 1**. This diagram serves as a conceptual representation of the interactions and transitions among the various compartments in the system.



Figure 1. DHF Transmission Diagram

Based on the transmission diagram presented above, the system of differential equations for the mathematical model of DHF spread is formulated as follows:

$$\frac{dS_h}{dt} = r\mu_h N_h - b\frac{\beta_h S_h I_v}{N_h} - \mu_h S_h,$$
$$\frac{dL_h}{dt} = (1-r)\mu_h N_h - b\frac{\beta_h L_h I_v}{N_h} - \mu_h L_h,$$

$$\frac{dA_h}{dt} = \Psi b \frac{\beta_h (S_h + L_h) I_v}{N_h} - (\mu_h + \gamma) A_h,$$

$$\frac{dI_h}{dt} = (1 - \Psi) b \frac{\beta_h (S_h + L_h) I_v}{N_h} - (\mu_h + \gamma + \tau) I_h,$$

$$\frac{dR_h}{dt} = \gamma (A_h + I_h) + \tau I_h - \mu_h R_h,$$

$$\frac{dS_v}{dt} = \mu_v N_v - b \frac{\beta_v S_v (A_h + I_h)}{N_h} - \mu_v S_v,$$

$$\frac{dI_v}{dt} = b \frac{\beta_v S_v (A_h + I_h)}{N_h} - \mu_v I_v.$$
(1)

The variables  $S_h$ ,  $L_h$ ,  $A_h$ ,  $I_h$ ,  $R_h$ ,  $S_v$  and  $I_v$  are all non-negative. The total human population is given by  $N_h = S_h + L_h + A_h + I_h + R_h \ge 0$  and the total mosquito population is  $N_v = S_v + I_v \ge 0$ . Additionally, all parameters defined in the model are positive, with  $0 \le r, \Psi \le 1$  as proportion or probabilities and  $\gamma, \tau, b, \mu_h, \mu_v, \beta_h, \beta_v > 0$ .

## 2.2 Genetic Algorithm

The application of Genetic Algorithm (GA) in this study follows a systematic approach designed to optimize the parameters of the model. GA is a population-based optimization method inspired by natural selection mechanisms such as selection, mutation, and recombination to find the best solution to a given problem. GA does not require function derivatives or specific assumptions about data distribution, making it more flexible for solving complex and nonlinear optimization problems. Additionally, GA can explore a wide range of possible solutions, reducing the risk of getting stuck in suboptimal solutions [16]. The key steps in the GA process are as follows:

- 1. Initialization: The first step involves defining the problem parameters, including the number of variables, population size, mutation rate, crossover rate, and the number of generations. The algorithm also specifies the upper and lower bounds for the model parameters. A random initial population is generated, with each solution corresponding to a set of model parameters within the defined bounds.
- 2. Evaluation: Each individual in the population is evaluated by solving the system numerically with its parameters. The model's predictions are compared to actual data, and the error of Mean Absolute Percentage Error (MAPE) is calculated to assess the fit of each solution.
- 3. Selection: Individuals are ranked based on their error values (MAPE). The top-ranked individuals are selected as parents for the next generation, with a number proportional to the crossover rate.
- 4. Crossover: The selected parents undergo crossover, where their model parameters are combined to produce offspring, exchanging genetic information to potentially improve the solutions.
- 5. Mutation: To maintain genetic diversity, a mutation process is applied, where a percentage of the offspring have their parameters randomly altered within the defined bounds.
- 6. Replacement: The offspring replace the least fit individuals in the population, forming a new generation.
- 7. Iteration: Steps 2 6 are repeated for a set number of generations. Over time, the population evolves toward better solutions, with the best set of parameters found in the final generation being selected as the optimal model parameters.

## 2.3 Research Methodology

This study employs a mathematical modeling approach to analyze the transmission dynamics of Dengue Hemorrhagic Fever (DHF). The research methodology in Figure 2 consists of several key stages, including model formulation, parameter estimation, stability analysis, and numerical simulation.

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1. Model Formulation

The mathematical model is constructed based on a compartmental framework, incorporating different population groups to represent disease transmission dynamics. The model extends previous studies by integrating varying levels of transmission risk and employing a system of differential equations to describe interactions between humans and mosquito populations.

2. Parameter Estimation

The model parameters are estimated using actual DHF case data from East Java Province in 2018. Since some parameters related to mosquito populations are not directly available from official records, a genetic algorithm (GA) is employed to optimize parameter values and minimize discrepancies between simulated and observed data.

3. Stability Analysis

The stability of the model is examined through equilibrium analysis, focusing on disease-free and endemic conditions. The basic reproduction number  $(R_0)$  is derived to determine the threshold conditions under which DHF can either persist or be eradicated. Sensitivity analysis is also conducted to identify the most influential parameters affecting  $R_0$ .

4. Numerical Simulation

To observe long-term trends in DHF transmission, numerical simulations are performed using estimated parameter values. Two scenarios are considered: (i) a disease-free scenario with  $R_0 < 1$ , where infection gradually disappears, and (ii) an endemic scenario with  $R_0 > 1$ , where the disease continues to spread.

The overall research methodology is illustrated in the following flowchart:



Figure 2. Flowchart of Research

## **3. RESULTS AND DISCUSSION**

#### 3.1 Positive Invariance and Boundedness

To show the boundedness of the solution, we consider the total human and mosquito populations. From system Equation (1), the total human population satisfies:

$$\frac{dN_h}{dt} = \frac{dS_h}{dt} + \frac{dL_h}{dt} + \frac{dA_h}{dt} + \frac{dI_h}{dt} + \frac{dR_h}{dt}$$
$$\frac{dN_h}{dt} = \mu_h N_h - \mu_h (S_h + L_h + A_h + I_h + R_h)$$
$$\frac{dN_h}{dt} = \mu_h N_h - \mu_h N_h$$
$$\frac{dN_h}{dt} = 0$$

Thus, the total human population remains  $N_h = K_1$ , where  $K_1 = S_h(0) + L_h(0) + A_h(0) + I_h(0) + R_h(0)$ . Similarly, for the mosquito population, using the same approach, we obtain that the total mosquito population remains  $N_v = K_2$ , where  $K_2 = S_v(0) + L_v(0)$ . Therefore, the solutions of system **Equation** (1) remain non-negative for all time t > 0 and defined in the closed set  $\Omega$  (positively invariant) given as

$$\Omega = \Omega_h \cup \Omega_v \subset \mathbb{R}^5_+ \times \mathbb{R}^2_+$$

where

$$\Omega_{h} = \left\{ \left( S_{h}(t), L_{h}(t), A_{h}(t), I_{h}(t), R_{h}(t) \right) \in \mathbb{R}^{5}_{+} : N_{h} = K_{1} = S_{h}(0) + L_{h}(0) + A_{h}(0) + I_{h}(0) + R_{h}(0) \right\},$$
  
$$\Omega_{v} = \left\{ \left( S_{v}(t), I_{v}(t) \right) \in \mathbb{R}^{2}_{+} : N_{v} = K_{2} = S_{v}(0) + I_{v}(0) \right\},$$

with  $K_1$  and  $K_2$  are constant values.

Next, we show the positivity solution of system Equation (1) based on the following theorem.

**Theorem 1.** Let  $S_h(0)$ ,  $L_h(0)$ ,  $A_h(0)$ ,  $I_h(0)$ ,  $R_h(0)$ ,  $S_v(0)$  and  $I_v(0)$  be the initial conditions of the system. If  $S_h(0) \ge 0$ ,  $L_h(0) \ge 0$ ,  $A_h(0) \ge 0$ ,  $I_h(0) \ge 0$ ,  $R_h(0) \ge 0$ ,  $S_v(0) \ge 0$  and  $I_v(0) \ge 0$  then all solutions are positive for every  $t \ge 0$ .

**Proof.** Take the first equation in the system Equation (1), and assume that  $\lambda(t) = b \frac{\beta_h l_v(t)}{N_h}$  as follows

$$\frac{\frac{dS_h}{dt} = r\mu_h N_h - \lambda(t)S_h(t) - \mu_h S_h(t)}{\frac{dS_h}{dt} \ge -\lambda(t)S_h(t) - \mu_h S_h(t)}$$
$$\frac{d\left(e^{\mu_h t + \int_0^t \lambda(s)ds} S_h(t)\right)}{dt} \ge 0$$
$$S_h(t) \ge k e^{-\mu_h t - \int_0^t \lambda(s)ds}$$

with the initial condition  $S_h(0)$  at t = 0, we get

$$S_h(t) \ge S_h(0)e^{-\mu_h t - \int_0^t \lambda(s)ds}$$

Hence  $S_h(t)$  is positive for  $t \ge 0$  if  $S_h(0) \ge 0$ . Then, using the same steps, we can prove that all compartments are also positive for  $t \ge 0$  if the initial conditions of the system are positive.

## **3.2 Parameter Estimation**

To optimize the estimation of model parameters, a genetic algorithm (GA) is implemented. GA is an evolutionary optimization technique inspired by natural selection, making it particularly effective for solving

complex, non-linear problems. First, the data used for parameter estimation consists of monthly cumulative DHF case data from January 2018 to December 2018 in East Java Province, obtained directly from the Surabaya Health Office. The value of  $\mu_h$  is the inverse of the life expectancy in East Java, which is 70.97 years or 70.97 × 12 months. Thus  $\mu_h = \frac{1}{70.97 \times 12}$ . The total population of East Java in 2018 is recorded as 39,500,900 individuals [17], [18]. The parameter estimation process involves 14 variables, referred to as "genes" in the context of the genetic algorithm. These genes include remaining parameters, as well as the initial population sizes for compartments where direct data is unavailable. The first to 14<sup>th</sup> genes are  $\tau$ ,  $\gamma$ , r,  $\Psi$ , b,  $\beta_h$ ,  $\beta_v$ ,  $\mu_v$ ,  $S_h(0)$ ,  $L_h(0)$ ,  $A_h(0)$ ,  $R_h(0)$ ,  $S_v(0)$ , and  $I_v(0)$ , respectively.

Notation	Value	Notation	Value
τ	0.5430	$S_h(0)$	20,043,511
γ	0.5999	$L_h(0)$	19,454,975
r	0.0043	$A_h(0)$	368
Ψ	0.5622	$R_h(0)$	940
b	0.5891	$S_v(0)$	43,016,346
$\beta_h$	0.7194	$I_v(0)$	4,006
$\beta_v$	0.4986		
$\mu_v$	0.1350		

Table 3. Best Result of Parameter Estimation



Figure 3. Comparison of Real Data and Model Solution based on Parameter Estimation Results

The estimated parameter values of the DHF model **Equation (1)** are listed in **Table 3**. Based on the parameter values of **Table 3**, the value of  $R_0$  is 1.14. Figure 3 shows a comparison between the DHF case real data and the model's predictions using the estimated parameters. The results demonstrate a high degree of alignment, with an error difference of only 3.00%. This indicates that the genetic algorithm successfully captured the dynamics of DHF transmission in East Java during the study period. From the graph, it is evident that both the observed data and the model predictions exhibit an increasing trend in DHF cases, although slight discrepancies occur in certain months. The estimated parameters reveal that the transmission rate of the dengue virus from mosquitoes to humans is relatively high, while the transmission rate from humans to mosquitoes is comparatively lower. This asymmetry can be attributed to factors such as population growth, challenges in vector control, and unpredictable climatic and environmental changes. Additionally, the recovery rate for humans, whether through natural or treatment recovery, is found to be substantial. This is consistent with advancements in DHF research, which have significantly improved recovery outcomes in recent years.

## 3.3 Stability Analysis of Equilibrium Point

This section analyzes the equilibria of system Equation (1). Notice that the total human and mosquito populations are constant, satisfying  $\frac{dN_h}{dt} = 0$  and  $\frac{dN_v}{dt} = 0$ . Consequently, two types of equilibria are identified: the disease-free equilibrium and the endemic equilibrium.

The disease-free equilibrium represents a condition where no dengue virus is present in either the human or mosquito populations. This occurs when there are no infected humans or mosquitoes  $(A_h = I_h = I_v = 0)$ , and consequently, there are no humans recovering or undergoing treatment  $(R_h = 0)$ . The disease-free equilibrium is given by:

$$E^{0} = \left(S_{h}^{0}, L_{h}^{0}, A_{h}^{0}, I_{h}^{0}, R_{h}^{0}, S_{v}^{0}, I_{v}^{0}\right) = (rN_{h}, (1-r)N_{h}, 0, 0, 0, N_{v}, 0).$$

Next, the basic reproduction number  $(R_0)$  is determined, which is used to measure the potential spread of DHF in a population. With the Next Generation Matrix (NGM) method [19]. First, we define *F* as the transmission matrix, whose elements represent the number of newly infected individuals resulting from interactions with the  $A_h$ ,  $I_h$ , and  $I_v$  compartments. Meanwhile, *Z* is the transition matrix, which contains the infected population dynamics without including transmission interaction.

In calculating  $R_0$ , we consider only the equations related to the  $A_h$ ,  $I_h$ , and  $I_v$  compartments. Based on this approach, the matrices F and Z are derived as follows:

$$F = \begin{pmatrix} \Psi b \frac{\beta_h (S_h + L_h) I_v}{N_h} \\ (1 - \Psi) b \frac{\beta_h (S_h + L_h) I_v}{N_h} \\ b \frac{\beta_v S_v (A_h + I_h)}{N_h} \end{pmatrix} \text{ and } Z = \begin{pmatrix} (\mu_h + \gamma) A_h \\ (\mu_h + \gamma + \tau) I_h \\ \mu_v I_v \end{pmatrix}.$$

Let  $\mathbb{F}$  and  $\mathbb{Z}$  be the Jacobian matrices of the transmission matrix *F* and the transition matrix *Z*, evaluated at the disease-free equilibrium ( $E^0$ ), we obtain:

$$\mathbb{F} = \begin{pmatrix} 0 & 0 & \Psi \beta_h b \\ 0 & 0 & (1 - \Psi) \beta_h b \\ \frac{\beta_v b N_v}{N_h} & \frac{\beta_v b N_v}{N_h} & 0 \end{pmatrix} \text{ and } \mathbb{Z} = \begin{pmatrix} \mu_h + \gamma & 0 & 0 \\ 0 & \mu_h + \gamma + \tau & 0 \\ 0 & 0 & \mu_v \end{pmatrix}$$

The basic reproduction number  $R_0$  is determined as the largest eigenvalue (spectral radius) of the matrix  $\mathbb{FZ}^{-1}$ . Thus, we obtain:

$$R_0 = \sqrt{\frac{(\Psi\tau + \gamma + \mu_h)\beta_h\beta_v b^2 N_v}{(\gamma + \mu_h)(\tau + \gamma + \mu_h)\mu_v N_h}}$$

Based on the parameters estimation result in Table 3,  $R_0$  is calculated to be 1.14, indicating that  $R_0 > 1$  and validating the existence of endemic conditions in East Java Province in 2018.

The endemic equilibrium describes a state where DHF is actively transmitted, resulting in infected humans and mosquitoes  $(A_h, I_h, I_v \neq 0)$ . The endemic equilibrium, denoted as  $E^* = (S_h^*, L_h^*, A_h^*, I_h^*, R_h^*, S_v^*, I_v^*)$ , is expressed as:

$$\begin{split} S_{h}^{*} &= r \frac{\mu_{h} N_{h}^{2}}{\beta_{h} b I_{v}^{*} + \mu_{h} N_{h}}, \\ L_{h}^{*} &= (1 - r) \frac{\mu_{h} N_{h}^{2}}{\beta_{h} b I_{v}^{*} + \mu_{h} N_{h}}, \\ A_{h}^{*} &= \Psi \frac{\beta_{h} b (S_{h}^{*} + L_{h}^{*}) I_{v}^{*}}{(\mu_{h} + \gamma) N_{h}}, \\ I_{h}^{*} &= (1 - \Psi) \frac{\beta_{h} b (S_{h}^{*} + L_{h}^{*}) I_{v}^{*}}{(\mu_{h} + \gamma + \tau) N_{h}}, \\ R_{h}^{*} &= \frac{\gamma (A_{h}^{*} + I_{h}^{*}) + \tau I_{h}^{*}}{\mu_{h}}, \end{split}$$

$$S_{v}^{*} = \frac{\mu_{v}N_{v}N_{h}}{\beta_{v}b(A_{h}^{*} + I_{h}^{*}) + \mu_{v}N_{h}},$$
  

$$I_{v}^{*} = \frac{(R_{0}^{2} - 1)(\mu_{h} + \gamma)(\mu_{h} + \gamma + \tau)\mu_{h}\mu_{v}N_{h}}{(\mu_{h} + \gamma + \Psi\tau)\beta_{h}\beta_{v}b^{2}\mu_{h} + (\mu_{h} + \gamma)(\mu_{h} + \gamma + \tau)\mu_{v}b\beta_{h}}$$

This equilibrium exists if  $R_0^2 > 1 \Leftrightarrow R_0 > 1$ .

## 3.3.1 Local Stability of the Disease-free Equilibrium

The stability of the disease-free equilibrium point is obtained by substituting the value of the disease-free equilibrium  $(E^0)$  into the Jacobian matrix as follows:

$$J(E^{0}) = \begin{pmatrix} -\mu_{h} & 0 & 0 & 0 & 0 & 0 & -r\beta_{h}b \\ 0 & -\mu_{h} & 0 & 0 & 0 & 0 & -(1-r)\beta_{h}b \\ 0 & 0 & -(\gamma + \mu_{h}) & 0 & 0 & 0 & \Psi\beta_{h}b \\ 0 & 0 & 0 & -(\tau + \gamma + \mu_{h}) & 0 & 0 & (1-\Psi)\beta_{h}b \\ 0 & 0 & \gamma & \tau + \gamma & -\mu_{h} & 0 & 0 \\ 0 & 0 & -\frac{\beta_{v}bN_{v}}{N_{h}} & -\frac{\beta_{v}bN_{v}}{N_{h}} & 0 & -\mu_{v} & 0 \\ 0 & 0 & \frac{\beta_{v}bN_{v}}{N_{h}} & \frac{\beta_{v}bN_{v}}{N_{h}} & 0 & 0 & -\mu_{v} \end{pmatrix}.$$

From the matrix  $J(E^0)$ , we will look for the characteristic equation with  $|\lambda I - J(E^0)|$ , such that we obtain:

$$(\lambda + \mu_h)^3 (\lambda + \mu_v) (\lambda^3 + a_1 \lambda^2 + a_2 \lambda + a_3) = 0,$$
(2)

where

$$a_{1} = (\gamma + \mu_{h}) + (\tau + \gamma + \mu_{h}) + \mu_{v},$$
  

$$a_{2} = (\gamma + \mu_{h})(\tau + \gamma + \mu_{h}) + (\gamma + \mu_{h})\mu_{v} + (\tau + \gamma + \mu_{h})\mu_{v}[1 - R_{1}],$$
  

$$a_{3} = (\gamma + \mu_{h})(\tau + \gamma + \mu_{h})\mu_{v}[1 - R_{0}^{2}],$$

with  $R_1 = \frac{\beta_h \beta_v b^2 N_v}{(\tau + \gamma + \mu_h) \mu_v N_h}$ .

From Equation (2), we have the eigenvalues  $\lambda_1 = \lambda_2 = \lambda_3 = -\mu_h$ ,  $\lambda_4 = -\mu_v$ , which are negative, and the remainder are the roots of the following equation:

$$\lambda^3 + a_1 \lambda^2 + a_2 \lambda + a_3 = 0.$$
 (3)

By using the Routh-Hurwitz criterion, the characteristic **Equation (3)** will have roots with negative real parts if and only if  $a_1, a_2, a_3, a_1a_2 - a_3 > 0$ . It is clear that the coefficient  $a_1 > 0$ , while  $a_2 > 0$  if  $R_1 < 1$ , and the coefficient  $a_3 > 0$  if  $R_0^2 < 1$ . Using some algebraic calculations, we have

$$a_{1}a_{2} - a_{3} = ((\gamma + \mu_{h}) + (\tau + \gamma + \mu_{h}))((\gamma + \mu_{h})(\tau + \gamma + \mu_{h}) + (\gamma + \mu_{h})\mu_{v} + (\tau + \gamma + \mu_{h})\mu_{v}[1 - R_{1}]) + (\gamma + \mu_{h})\mu_{v}^{2} + (\tau + \gamma + \mu_{h})\mu_{v}^{2}[1 - R_{1}] + (\gamma + \mu_{h})(\tau + \gamma + \mu_{h})\mu_{v}R_{0}^{2}.$$

Hence, the coefficient  $a_1a_2 - a_3 > 0$  if  $R_1 < 1$ . Next, we will look for the relationship between  $R_0^2$  and  $R_1$ , using some algebraic calculations, we have

$$R_{0}^{2} - R_{1} = \frac{(\tau + \gamma + \mu_{h})\Psi\beta_{h}\beta_{v}b^{2}\mu_{v}N_{v}N_{h}}{(\gamma + \mu_{h})(\tau + \gamma + \mu_{h})^{2}\mu_{v}^{2}N_{h}^{2}}$$

Hence,  $R_0^2 - R_1 > 0 \Leftrightarrow R_1 < R_0^2$ . So, when  $R_0^2 < 1$  then  $R_1 < 1$  is fulfilled. Then, the conditions are sufficient and necessary for **Equation** (2) to have negative real parts when  $R_0^2 < 1 \Leftrightarrow R_0 < 1$ . Therefore, the disease-free equilibrium (E<sup>0</sup>) will be locally asymptotically stable for  $R_0 < 1$  and unstable whenever  $R_0 > 1$ . The foregoing discussion could be summarized in the following theorem.

**Theorem 2.** The disease-free equilibrium  $(E^0)$  of the system is locally asymptotically stable in the region  $\Omega$  if  $R_0 < 1$  and unstable if  $R_0 > 1$ .

## 3.3.2 Global Stability of the Disease-free Equilibrium

The global stability of the disease-free equilibrium will be investigated using the direct Lyapunov method. Suppose the positive constants  $c_i > 0$ , (i = 1,2,3) are defined as:

$$c_1 = \frac{(\mu_h + \gamma + \tau)b\beta_\nu S_\nu^0}{N_h}, c_2 = \frac{(\mu_h + \gamma)b\beta_\nu S_\nu^0}{N_h} \text{ and } c_3 = (\mu_h + \gamma)(\mu_h + \gamma + \tau).$$

Then, consider the Lyapunov function  $\mathcal{L} : \Omega \to \mathbb{R}$  defined as

$$\mathcal{L} = c_1 A_h + c_2 I_h + c_3 I_\nu.$$

Time derivative of  $\mathcal{L}$  is:

$$\begin{aligned} \frac{d\mathcal{L}}{dt} &= c_1 \frac{dA_h}{dt} + c_2 \frac{dI_h}{dt} + c_3 \frac{dI_v}{dt} \\ &= \left( b \frac{\beta_v S_v}{N_h} c_3 - (\mu_h + \gamma) c_1 \right) A_h + \left( b \frac{\beta_v S_v}{N_h} c_3 - (\mu_h + \gamma + \tau) c_2 \right) I_h \\ &+ \left( \Psi b \frac{\beta_h (S_h + L_h)}{N_h} c_1 + (1 - \Psi) b \frac{\beta_h (S_h + L_h)}{N_h} c_2 - \mu_v c_3 \right) I_v. \end{aligned}$$

Replacing constant  $c_1$ ,  $c_2$  and  $c_3$ , we obtain:

$$\begin{aligned} \frac{d\mathcal{L}}{dt} &= \frac{b\beta_{v}(\mu_{h}+\gamma)(\mu_{h}+\gamma+\tau)}{N_{h}}(S_{v}-S_{v}^{0})A_{h} + \frac{b\beta_{v}(\mu_{h}+\gamma)(\mu_{h}+\gamma+\tau)}{N_{h}}(S_{v}-S_{v}^{0})I_{h} \\ &+ \left(\frac{\Psi b^{2}\beta_{h}\beta_{v}(\mu_{h}+\gamma+\tau)(S_{h}+L_{h})S_{v}^{0}}{N_{h}^{2}} + \frac{(1-\Psi)b^{2}\beta_{h}\beta_{v}(\mu_{h}+\gamma)(S_{h}+L_{h})S_{v}^{0}}{N_{h}^{2}} \\ &- \mu_{v}(\mu_{h}+\gamma)(\mu_{h}+\gamma+\tau)\right)I_{v}. \end{aligned}$$

Since  $S_{\nu} \leq S_{\nu} + I_{\nu} = N_{\nu} = S_{\nu}^{0}$ , we have  $S_{\nu} \leq S_{\nu}^{0}$ , leading to the inequality:

$$\begin{split} \frac{d\mathcal{L}}{dt} &\leq \left(\frac{\Psi b^2 \beta_h \beta_v (\mu_h + \gamma + \tau) (S_h + L_h) S_v^0}{N_h^2} + \frac{(1 - \Psi) b^2 \beta_h \beta_v (\mu_h + \gamma) (S_h + L_h) S_v^0}{N_h^2} - \mu_v (\mu_h + \gamma) (\mu_h + \gamma + \tau) \right) I_v \\ &\leq \mu_v (\mu_h + \gamma) (\mu_h + \gamma + \tau) \left(\frac{\Psi b^2 \beta_h \beta_v (S_h + L_h) S_v^0}{N_h^2 \mu_v (\mu_h + \gamma)} + \frac{(1 - \Psi) b^2 \beta_h \beta_v (S_h + L_h) S_v^0}{N_h^2 \mu_v (\mu_h + \gamma + \tau)} - 1\right) I_v \\ &\leq \mu_v (\mu_h + \gamma) (\mu_h + \gamma + \tau) \left(\frac{(\Psi \tau + \mu_h + \gamma) b^2 \beta_h \beta_v (S_h + L_h) S_v^0}{N_h^2 \mu_v (\mu_h + \gamma) (\mu_h + \gamma + \tau)} - 1\right) I_v. \end{split}$$

Now, using the fact that  $S_h + L_h \le S_h + L_h + A_h + I_h + R_h = N_h$ , we get  $S_h + L_h < N_h$ . Also, since  $S_v^0 = N_v$ , we have:

$$\begin{split} \frac{d\mathcal{L}}{dt} &\leq \mu_{\nu}(\mu_{h}+\gamma)(\mu_{h}+\gamma+\tau)\left(\frac{(\Psi\tau+\mu_{h}+\gamma)b^{2}\beta_{h}\beta_{\nu}N_{h}N_{\nu}}{N_{h}^{2}\mu_{\nu}(\mu_{h}+\gamma)(\mu_{h}+\gamma+\tau)}-1\right)I_{\nu}\\ &\leq \mu_{\nu}(\mu_{h}+\gamma)(\mu_{h}+\gamma+\tau)(R_{0}^{2}-1)I_{\nu}. \end{split}$$

Therefore  $\frac{d\mathcal{L}}{dt} \leq 0$  if  $R_0 \leq 1$ , with  $\frac{d\mathcal{L}}{dt} = 0$  if  $R_0 = 1$  or  $I_v = 0$ . According to LaSalle's invariance principle [20], the free-disease equilibrium ( $E^0$ ) is globally asymptotically stable in the region  $\Omega$  if  $R_0 < 1$ . The foregoing discussion could be summarized in the following theorem.

**Theorem 3.** The disease-free equilibrium ( $E^0$ ) of the system is globally asymptotically stable in the region  $\Omega$  if  $R_0 < 1$ .

## 3.3.3 Stability of the Endemic Equilibrium

Due to the analytical complexity of determining the stability of the endemic equilibrium, we investigate its stability through numerical methods. In this simulation, we employ three different initial conditions while keeping the parameter values constant to observe the convergence of the trajectories over an extended period. The initial values used in the numerical simulation are provided in **Table 4**, while the parameter values are based on the estimation results in **Table 3**, except for  $N_h = 40,000,000$  and  $N_v = 43,000,000$ .

Initial Value	$S_h(0)$	$L_h(0)$	$A_h(0)$	$I_h(0)$	$R_h(0)$	$S_v(0)$	$I_v(0)$	Color
$Z_1$	20,000,000	19,900,000	30,000	40,000	30,000	42,900,000	100,000	Red
<i>Z</i> <sub>2</sub>	18,000,000	21,900,000	10,000	50,000	40,000	42,800,000	200,000	Green
$Z_3$	22,000,000	17,900,000	20,000	80,000	20,000	42,600,000	400,000	Blue

Table 4. Initial Value for Phase Field Simulation
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For the phase-space simulation, we present a 3D visualization focusing on the  $A_h$ ,  $I_h$  and  $I_v$  populations. This approach highlights the equilibrium state for endemic conditions, where the populations are non-zero, and for disease-free conditions, where they are zero. This distinction offers a clear visual representation of the two scenarios. The results of the simulation are illustrated in Figure 4.



**Figure 4.** Phase Field of  $A_h$ ,  $I_h$  and  $I_v$  Populations

Based on the numerical simulation results shown in **Figure 4**, the trajectories of the  $A_h$ ,  $I_h$  and  $I_v$  populations, starting from three different initial conditions, converge to the endemic equilibrium point ( $x^*$ ), with  $R_0 = 1.1326 > 1$ . This suggests that the endemic equilibrium point tends to be asymptotically stable when  $R_0 > 1$ . The foregoing discussion could be summarized in the following theorem.

**Theorem 4.** The endemic equilibrium  $(E^*)$  of the system tends to be asymptotically stable in the region  $\Omega$  if  $R_0 > 1$ .

## 3.4 Sensitivity Analysis

This section examines the sensitivity analysis to identify the parameters that significantly influence the basic reproduction number  $(R_0)$ , as described in [21]. Sensitivity analysis allows for the quantification of how changes in parameters affect  $R_0$ . The sensitivity index of  $R_0$  with respect to a parameter p is defined as:

$$\Upsilon_p^{R_0} = \frac{\partial R_0}{\partial p} \times \frac{p}{R_0}$$

Using this formula, sensitivity indices for  $R_0$  were calculated based on the parameter values in Table 3. The result is presented in Table 5.

Table 5. Sensitivity muck of the Larameters in R <sub>0</sub>						
Parameters	Sensitivity Index	Parameters	Sensitivity Index			
τ	- 0.069	$\beta_h$	+ 0.5			
γ	-0.421	$\beta_v$	+ 0.5			
Ψ	+ 0.166	$\mu_h$	-0.010			
b	+ 1	$\mu_v$	- 0.5			

**Table 5.** Sensitivity Index of the Parameters in  $R_0$ 

A positive sensitivity index means that an increase in the parameter value will cause an increase in the value of  $R_0$ . Conversely, a negative sensitivity index means that an increase in the parameter value will cause a decrease in  $R_0$ . From **Table 5**, the sensitivity index of the mosquito biting rate (*b*) is 1, indicate that if the parameter *b* increase 10%, then the value of  $R_0$  also increase 10%. Conversely, if the parameter *b* decrease 10%, then the value of  $R_0$  also decrease 10%. Similar proportional relationships hold for other parameters, as shown in **Table 5**. The most influential parameters affecting  $R_0$  are the mosquito biting rate (*b*), the transmission rate from infected mosquitoes to susceptible humans ( $\beta_h$ ), the transmission rate from infected mosquitoes ( $\beta_v$ ), and the mosquito mortality rate ( $\mu_v$ ). This highlights the critical role of vector control strategies in reducing DHF transmission.

## 3.4.1 Impact Parameters on R<sub>0</sub>

The parameter with the highest sensitivity index is *b*, with an index value of 1. Additionally, the parameters  $\beta_h$ ,  $\beta_v$  and  $\mu_v$  have sensitivity indices of 0.5 and -0.5. These four parameters significantly influence  $R_0$ , and their impact has been further analyzed through simulation.



**Figure 5.** Impact of *b* on the Value of  $R_0$  with Three Different Values of (a)  $\beta_h$ , (b)  $\beta_v$  and (c)  $\mu_v$ 

**Figure 5** illustrates the relationship between these parameters and  $R_0$ . It is evident that an increase in *b* leads to a higher  $R_0$  value, indicating a greater potential for DHF to become endemic. This is because the sensitivity index of *b* is positive, meaning that an increase in *b* proportionally increases  $R_0$ . Similarly, an increase in  $\beta_h$  and  $\beta_v$  also results in a higher  $R_0$ , as both parameters have positive sensitivity indices. In contrast, an increase in  $\mu_v$  reduces the  $R_0$  value because its sensitivity index is negative. This suggests that higher mosquito mortality can significantly help control the spread of DHF.

#### **3.4.2 Impact Parameters on Population**

To further assess the influence of parameters b,  $\beta_h$ ,  $\beta_v$ , and  $\mu_v$ , simulations were conducted to observe their effects on the symptomatic human population ( $I_h$ ). The resulting simulation graphs are presented in **Figure 6**.



Figure 6. Impact of (a) b, (b)  $\beta_h$ , (c)  $\beta_v$ , and (d)  $\mu_v$  on  $I_h$ 

From Figure 6, it can be concluded that these four parameters significantly impact changes in the  $I_h$  population. When the values of b,  $\beta_h$ , and  $\beta_v$  are low, indicating that a reduced transmission rate leads to the  $I_h$  population remains relatively small. Conversely, higher values of these parameters lead to a significant increase in the  $I_h$  population, reflecting more intense disease transmission. In contrast, the mosquito mortality rate  $\mu_v$  exhibits an inverse relationship with the  $I_h$  population. When  $\mu_v$  is high, the transmission rate decreases, resulting in a lower  $I_h$  population. However, when  $\mu_v$  is low, the reduced mosquito mortality allows for more effective disease transmission, leading to an increase in the  $I_h$  population.

Since *b* is the most influential parameter affecting changes in  $R_0$ , we further analyze the impact of *b* on the occurrence of disease-free and endemic conditions. By substituting the parameter values from **Table 3**, except for *b*, we obtain  $R_0 = 1.9351b$ . Therefore, for the system to be in a disease-free state when b < 0.5167700912, while the system remains endemic when b > 0.5167700912.

## **3.5 Numerical Simulation**

To analyze the future trends of dengue hemorrhagic fever (DHF) in West Java, we perform simulations based on the estimated parameter values. The simulations are conducted under two different conditions:

- 1. Disease-free condition, where parameter values follow Table 3, except for b = 0.3891, resulting in  $R_0 = 0.7529$ .
- 2. Endemic condition, where all parameter values follow **Table 3**, yielding  $R_0 = 1.14$ .

The numerical simulations are implemented using the Runge-Kutta integration method. The comparison of population dynamics under these two conditions is presented in Figure 7. These simulations provide insights into the potential trajectory of DHF in West Java Province.





Figure 7. Numerical simulation of (a)  $S_h$ , (b)  $L_h$ , (c)  $A_h$ , (d)  $I_h$ , (e)  $R_h$ , (f)  $S_v$ , and (g)  $I_v$  population

The results indicate that when  $R_0 > 1$ , the disease persists in the population, leading to an increase in the number of infected individuals over time. In contrast, when  $R_0 < 1$ , the infection gradually dies out. This condition aligns with our previous calculations, which show that the system remains in a disease-free state when b < 0.5167700912, while it stays endemic when b > 0.5167700912. Therefore, controlling and reducing the mosquito biting rate (*b*) can be one of the key strategies for shifting the system toward a disease-free state. These findings underscore the critical threshold behavior of  $R_0$  and emphasize the necessity of maintaining control efforts to prevent periodic resurgences of DHF outbreaks.

## 4. CONCLUSIONS

This study developed a mathematical model to analyze the transmission dynamics of Dengue Hemorrhagic Fever (DHF) in East Java Province, Indonesia. The model integrates key epidemiological different levels of transmission risk and utilizes a Genetic Algorithm (GA) to optimize parameter estimation. The basic reproduction number  $(R_0)$  was calculated as 1.0959, confirming the endemic status of DHF in West Java Province in 2018. Stability analysis demonstrated that the disease-free equilibrium is locally and globally asymptotically stable when  $R_0 < 1$ , while the endemic equilibrium tends to be asymptotically stable when  $R_0 > 1$ . Sensitivity analysis revealed that the mosquito biting rate (b), mosquito mortality rate ( $\mu_{\nu}$ ), and transmission rates from infected mosquitoes to humans ( $\beta_h$ ) and from infected humans to mosquitoes  $(\beta_{v})$  are the most critical factors influencing the basic reproduction number  $(R_{0})$ . Among these, parameter b exhibited the highest sensitivity index, indicating a direct proportional relationship. Next, the most influential parameters are  $\beta_h$  and  $\beta_v$ , which have a positive sensitivity index, as well as  $\mu_v$ , which has a negative sensitivity index. Simulations further analyzed the effects of these four parameters on both  $R_0$  and the symptomatic human population  $(I_h)$ . The results confirmed that higher values of b,  $\beta_h$ , and  $\beta_v$  increase  $R_0$ and amplify  $I_h$ , reflecting intensified transmission dynamics. For instance, a significant rise in b or  $\beta_h$  leads to a marked increase in the peak and duration of  $I_h$ , highlighting their impact on disease propagation. In contrast, an increase in  $\mu_{\nu}$  reduces  $R_0$  and diminishes  $I_h$ , indicating its crucial role in suppressing outbreaks. These findings underscore the importance of vector control measures targeting b and  $\mu_v$ , such as fumigation and environmental interventions to eliminate breeding sites. By effectively reducing b and increasing  $\mu_{\nu}$ , public health efforts can achieve significant reductions in both  $R_0$  and  $I_h$ .

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